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May 3, 2001

Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Examining Group 1632
Patent Application

Docket No. USF-182XC1

Serial No. 10/655,873

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Louis D. Lieto, Ph.D.

Art Unit

1632

Applicants

Shyam S. Mohapatra, Mukesh Kumar

Serial No.

10/655,873

Filed

September 5, 2003

For

Genetic Adjuvants for Immunotherapy

MS AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98

Sir:

In accordance with 37 C.F.R. §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed. However, the applicants have not submitted copies of the U.S. patents or published U.S. applications cited on attached Form PTO/SB/08 pursuant to 37 C.F.R. §1.98(a)(2)(ii).

The applicants respectfully assert that the substantive provisions of 37 C.F.R. §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

Glenn P. Ladwig

Patent Attorney

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Gainesville, FL 32614-2950

GPL/mv

Attachments: Form PTO/SB/08 (7 pages); copies of some references cited therein

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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control number.

Complete if Known Substitute for form 1449A/PTO **Application Number** 10/655,873 INFORMATION DISCLOSURE Filing Date September 5, 2003 STATEMENT BY APPLICANT First Named Inventor Shyam S. Mohapatra (use as many sheets as necessary) **Art Unit** 1632 **Examiner Name** Louis D. Lieto, Ph.D. of **Attorney Docket Number** Sheet **USF-182XC1** 

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Alternation 1/2 of Code 6/3		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear				
	U1	US-10/526,584	03-03-2005	Mohapatra (patent application)	Ali				
	U2	US-10/544,145	08-02-2005	Mohapatra (patent application)	All				
	U3	US-2003/0068333 A1	04-10-2003	Mohapatra et al.	All				
	U4	US-2004/0009152 A1	01-15-2004	Mohapatra et al.	All				
	U5	US-2005/0025742 A1	02-03-2005	Engler et al.	All				
	U6	US-2005/0054052 A1	03-10-2005	Carr et al.	All				
	U7	US-2005/0054053 A1	03-10-2005	Aguinaldo et al.	All				
	U8	US-2005/0084478 A1	04-21-2005	Liu et al.	All				
	U9	US-2005/0266093 A1	12-01-2005	Mohapatra	All				

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
	F1	WO 01/22970 A1	04-05-2001	Cleveland Clinic Found.	All			
	F2	WO 03/028759 A1	04-10-2003	Univ. South Florida et al.	All _			
	F3	WO 03/092618 A2	11-13-2003	Univ. South Florida	All			
	F4	WO 04/074314 A2	09-02-2004	Univ. South Florida	All			
	F5	WO 2004/022003 A2	03-18-2004	Univ. South Florida	All			
	F6	WO 2005/094420 A2	10-13-2005	Univ. South Florida	·· All			
	F7	WO 90/09780 A1	09-07-1990	Danbiosyst UK Lmtd.	All			
	F8	WO 98/02457 A1	01-22-1998	Connaught Lab. Lmtd.	All			

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Signature	·	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sı	Substitute for form 1449A/PTO		A	Application Number	10/655,873			
	INFORMATION DISCLOSURE					iling Date	September 5, 2003	
S	STATEMENT BY APPLICANT				F	First Named Inventor	Shyam S. Mohapatra	
	(us	se as many sheet	s as ned	essary)	A	Art Unit	1632	
					E	Examiner Name	Louis D. Lieto, Ph.D.	
	Sheet	2	of	7	4	Attorney Docket Number	USF-182XC1	

	U.S. PATENT DOCUMENTS								
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	U10	US-2005/0272650 A1	12-08-2005	Mohapatra	All				
, <u> </u>	U11	US-4,414,150	11-08-1983	Goeddel	All				
	U12	US-4,456,748	06-26-1984	Goeddel	All				
	U13	US-4,678,751	07-07-1987	Goeddel	All				
	U14	US-4,695,623	09-22-1987	Stabinsky	All				
	U15	US-4,897,471	01-30-1990	Stabinsky	All				
	U16	US-5,770,191	06-23-1998	Johnson et al.	All				
	U17	US-5,831,062	11-03-1998	Taylor	All				
	U18	US-5,853,755	12-29-1998	Foldvari	All				

	FOREIGN PATENT DOCUMENTS								
		Foreign Patent Document	Dublication Date	Name of Patentee or	Pages, Columns, Lines,				
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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(us	se as many sheets a	s nec	ressary)	Art Unit	1632	
				Examiner Name	Louis D. Lieto, Ph.D.	
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	U19	US-6,120,762	09-19-2000	Johnson et al.	All
	U20	US-6,218,180 B1	04-17-2001	Kurtzman et al.	All
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Substitute for form 1449B/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

of Sheet

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Application Number	10/655,873					
Filing Date	September 5, 2003					
First Named Inventor	Shyam S. Mohapatra					
Group Art Unit	1632					
Examiner Name	Louis D. Lieto, Ph.D.	•				
Attorney Docket Number	USF-182XC1					

	NON PATENT LITERATURE DOCUMENTS			
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	R1	ANTONIOU, K.M. et al. "Interferons and their application in the diseases of the lung" Chest, 2003, 123:209-216.		
	R2	BEHERA, A.K. et al. "2'-5' oligoadenylate synthetase plays a critical role in interferon-γ inhibition of respiratory syncytial virus infection of human epithelial cells" J. Biol. Chem., 2002, 277(28):25601-25608.		
	R3	CEVC, G. et al. "Ultraflexible vesicles, transfersomes, have an extremely low pore penetration resistance and transport therapeutic amounts of insulin across the intact mammalian skin" <i>Biochim. Biophys, Acta</i> , 1998, 1368:201-215.		
	R4	COLLINS, P.L. et al. "Production of infectious human respiratory syncytial virus from cloned cDNA confirms an essential role for the transcription elongation factor from the 5' proximal open reading frame of the M2 mRNA in gene expression and provides a capability for vaccine development" PNAS USA, 1995, 92:11563-11567.		
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	R7	DOW, S. et al. "Systemic and local interferon γ gene delivery to the lungs for treatment of allergen-induced airway hyperresponsiveness in mice" <i>Human Gene Therapy</i> , 1999, 10:1905-1914.		
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	R9	FOLDVARI, M. et al. "Liposome encapsulated prostaglandin E <sub>1</sub> in erectile dysfunction: Correlation between in vitro delivery through foreskin and efficacy in patients" <i>Urology</i> , 1998, 52(5):838-843.		
	R10	GOEDDEL, D. et al. "The structure of eight distinct cloned human leukocyte interferon cDNAs" Nature, 1981, 290:20-26.		
	R11	GUTTERMAN, J.U. "Cytokine therapeutics: Lessons from interferon α" <i>Proc. Natl. Acad. Sci. USA</i> , 1994, 91:1198-1205.		
	R12	HELLERMANN, G.R. and MOHAPATRA, S.S. "Genetic therapy: on the brink of a new future" Gen Vacc & Ther, 2003, 1:1.		
	R13	HENCO, K. et al. "Structural relationship of human interferon alpha genes and pseudogenes" J. Mol. Biol., 1985, 185:227-260.		

Examiner	Date	
Signature	Considered	

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Sheet

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	R14	HOFSTRA, C. et al. "Differential effects of endogenous and exogenous interferon-γ on immunoglobulin E, cellular infiltration, and airway responsiveness in a murine model of allergic asthma" Am. J. Respir. Cell Mol. Biol., 1998, 19:826-835.	
	R15	HSU, S-C. et al. "Synergistic effect of immunization with a peptide cocktail inducing antibody, helper and cytotoxic T-cell responses on protection against respiratory syncytial virus" J. Gen. Virology, 1999, 80:1401-1405.	
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	R17	JAMETON, R. and MOHAPATRA, S.S. "IL-12 possibilities" <i>Science</i> , 1995, 269(5230):1498-1499.	
	R18	KUMAR, M. et al. "Role of mucosal IFN-γ gene transfer on allergic sensitization and RSV infection" J. Allergy Clin. Immunol., 2002, 109:S4, abstract no. 78.	
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	R20	LENGYEL, P. "Tumor-suppressor genes: News about the interferon connection" <i>Proc. Natl. Acad. Sci. USA</i> , 1993, 90:5893-5895.	
	R21	LEONG, K.W. et al. "DNA-polycation nanospheres as non-viral gene delivery vehicles" J. Controlled Release, 1998, 53:183-193.	
	R22	LI, X. et al. "Protection against respiratory syncytial virus infection by DNA immunization" J. Exp. Med., 1998, 188:681-688.	
	R23	LI, X. et al. "Plasmid DNA encoding the respiratory syncytial virus G protein is a promising vaccine candidate" Virology, 2000, 269:54-65.	
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8	R25	MOHAPATRA, S.S. "Mucosal gene expression vaccine: a novel vaccine strategy for respiratory syncytial virus" Pediatr. Infect. Dis. J., 2003, 22(2 Suppl):S100-S104.	
	R26	MONTGOMERY, D. et al. "DNA vaccines" Pharmacol. Ther., 1997, 74:195-205.	

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Signature		Considered	

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	R27	MURRAY, H.W. "Current and future clinical applications of interferon-gamma in host antimicrobial defense" Intensive Care Med, 1996, 22(Suppl 4):S456-S461.		
	R28	OKUBO, T. et al. "Administration of an IL-12-encoding DNA plasmid prevents the development of chronic graft-versus-host disease (GVHD)" J Immunol, 1999, 162:4013-4017.		
	R29	OPDENAKKER, G. et al. "Interaction of interferon with other cytokines" Experientia, 1989, 45:513-520.		
	R30	PARK, S-Y. et al. "IFN-γ enhances TRAIL-induced apoptosis through IRF-1" Eur. J. Biochem., 2004, 271(21):4222-4228.		
·	R31	PIZZOFERRATO, F. et al. "Ectopic expression of interferon regulatory factor-1 promotes human breast cancer cell death and results in reduced expression of survivin" Cancer Res., 2004, 64(22):8381-8388.		
	R32	RENER, X. et al. "Construction and identification of a recombinant adenovirus which expresses human interferon-γ" Chinese J. Biotech., 1997, 13:1-8.		
	R33	ROBINSON, M. and KAWABATA, T. "Predictive assessment of respiratory sensitizing potential of proteins in mice" in Toxicology of Chemical Respiratory Hypersensitivity, Dearman and Kimber, Eds., 1997, pp. 135-150.		
	R34	ROY, K. et al. "Oral gene delivery with chitosan-DNA nanoparticles generates immunologic protection in a murine model of peanut allergy" Nat Med, 1999, 5:387-391.		
	R35	SETHI, S.K. et al. "Interferon-gamma (IFN-γ) down-regulates the rhinovirus-induced expression of intercellular adhesion molecule-1 (ICAM-1) on human airway epithelial cells" Clin. Exp. Immunol., 1997, 110:362-369.		
	R36	SHORT, S.M. <i>et al.</i> "Percutaneous absorption of biologically-active interferon-gamma in a human skin graft-nude mouse model" <i>Pharm. Res.</i> , 1996, 13:1020-1027.		
	R37	SIMMONS, C.P. et al. "Mucosal delivery of a respiratory syncytial virus CTL peptide with enterotoxin-based adjuvants elicits protective, immunopathogenic, and immunoregulatory antiviral CD8 <sup>+</sup> T cell responses" J. Immun., 2001, 166:1106-1113.		
	R38	STREULI, M. et al. "At least three human type α interferons: Structure of α2" Science, 1980, 209:1343-1347.		
	R39	TANG, Y-W. et al. "Determinants and kinetics of cytokine expression patterns in lungs of vaccinated mice challenged with respiratory syncytial virus" Vaccine, 1997, 15:597-602.		

Examiner	Date
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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7 of

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T	Con	nplete if Known	
Ì	Application Number	10/655,873	
Ì	Filing Date	st Named Inventor Shyam S. Mohapatra	
Ì	First Named Inventor		
Ì	Group Art Unit		
Ì	Examiner Name	Louis D. Lieto, Ph.D.	
1	Attorney Docket Number	USF-182XC1	

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	R40	WRIGHT, P. et al. "Evaluation of a live, cold-passaged, temperature-sensitive, respiratory syncytial virus vaccine candidate in infancy" J. Infect. Dis., 2000, 182:1331-1342.		
	R41	WYATT, L.S. et al. "Priming and boosting immunity to respiratory syncytial virus by recombinant replication-defective vaccinia virus MVA" Vaccine, 2000, 18:392-397.		
	R42	YOSHIDA, M. et al. "Effect of interferon-γ on allergic airway responses in interferon-γ-deficient mice" Am J Respir Crit Care Med, 2002, 166:451-456.		
	R43	ZOON, K.C. "Human interferons: Structure and function" Interferon, 1987, 9:1-12.		
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